

Electronic Acknowledgement Receipt

EFS ID:	8861516
Application Number:	10698140
International Application Number:	
Confirmation Number:	2938
Title of Invention:	SYSTEM AND METHOD FOR PROVIDING FINANCIAL ADVICE FOR AN INVESTMENT PORTFOLIO
First Named Inventor/Applicant Name:	James D. Peterson
Customer Number:	20350
Filer:	Chia-Chi A. Li/Rosario Ysip
Filer Authorized By:	Chia-Chi A. Li
Attorney Docket Number:	020425-105900US
Receipt Date:	17-NOV-2010
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1510
RAM confirmation Number	5714
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Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	2010_11_17_ISSUEFEE_020425-105900US.pdf	124041 38c57f7f18c0e570a802b66498af13d160c546c	no	1

Warnings:**Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	30280 2c152c2f8f4d9f1f1-4f6c6c8f1a482803e93059b	no	2
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Total Files Size (in bytes):	154321
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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